

123103

15866 U.S. PTO

U.S. PTO
10/7/49350

123103

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

51876P565

First Inventor

Min-Young You

Title

SEMICONDUCTOR MEMORY DEVICE FOR REDUCING PEAK CURRENT
DURING REFRESH OPERATION

Express Mail Label No.

EV 339916564US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration (signed) [Total Pages 3]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies
9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment ☐ Application Amended to
Reflect Claim of
Priority
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____

Prior application Information: Examiner _____ To Be Determined

Group/Art Unit: _____ Unknown

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number

08791

or ☐ Correspondence address below

Name

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Farzad E. Amini

Registration No. (Attorney/Agent)

42,261

Signature

Date 12/31/03

Based on PTO/SB/05 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (w/1) 08/11/2002.

SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 810.00

Complete if Known

Application Number
Filing Date
First Named Inventor Min-Young You
Examiner Name To Be Determined
Group/Art Unit Unknown
Attorney Docket No. 51876P565

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385	Utility filing fee	770.00
1002	2002	340	170	Design filing fee	
1003	2003	530	265	Plant filing fee	
1004	2004	770	385	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	
SUBTOTAL (1)					770.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
7	20** = 0	18.00	\$0.00
1	3** = 0	86.00	\$0.00

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1202	2202	18	9	Claims in excess of 20
1201	2201	86	43	Independent claims in excess of 3
1203	2203	290	145	Multiple Dependent claim, if not paid
1204	2204	86	43	**Reissue independent claims over original patent
1205	2205	18	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	
2053	1812	130	2,520	Non-English specification	
1812	1804	2,520	920 *	For filing a request for ex parte reexamination	
1804	1805	920 *	1,840 *	Requesting publication of SIR prior to Examiner action	
1805	1806	1,840 *	55	Requesting publication of SIR after Examiner action	
1251	2251	110	210	Extension for reply within first month	
1252	2252	420	475	Extension for reply within second month	
1253	2253	960	740	Extension for reply within third month	
1254	2254	1,480	605	Extension for reply within fourth month	
1255	2255	1,210	165	Extension for reply within fifth month	
1404	2401	330	165	Notice of Appeal	
1402	2402	330	145	Filing a brief in support of an appeal	
1403	2403	290	1,510	Request for oral hearing	
1451	2451	1,510	55	Petition to institute a public use proceeding	
1452	2452	110	665	Petition to revive - unavoidable	
1453	2453	1,330	240	Petition to revive - unintentional	
1501	2501	1,330	320	Utility issue fee (or reissue)	
1502	2502	480	130	Design issue fee	
1503	2503	640	180	Plant issue fee	
1460	2460	130	40	Petitions to the Commissioner	
1807	1806	50	40	Processing fee under 37 CFR 1.17(q)	
1806	8021	180	365	Submission of Information Disclosure Stmt	
8021	1809	40	365	Recording each patent assignment per property (times number of properties)	40.00
1809	1810	770	365	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	1801	770	365	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	1802	770	900	Request for Continued Examination (RCE)	
1802		900		Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Farzad E. Amini Registration No. (Attorney/Agent) 42,261 Telephone (310) 207-3800
Signature Date 12/31/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MIN-YOUNG YOU

Application No.:

Filed:

For: **SEMICONDUCTOR MEMORY DEVICE
FOR REDUCING PEAK CURRENT
DURING REFRESH OPERATION**

Art Group: Unknown

Examiner: To Be Determined

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

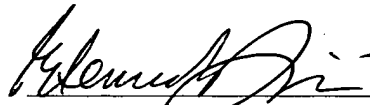
Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 4 sheets including 4 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: December 31, 2003



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